



Certificate in Transaction Monitoring and Financial Crimes

Vskills Certifications

Vskills Brochure



Certificate in Transaction Monitoring and Financial Crimes

Many millions of financial transactions are taking place across the globe every second. Transaction monitoring helps financial institutions instantly monitor transactions to combat financial crime and prevent frauds.

Transaction monitoring assesses historical and current customer interactions to provide a holistic picture of customer activity including transfers, deposits, and withdrawals. The assessment helps to raise alerts and investigations are done to confirm any financial crime or fraud being perpetuated.

Why should one take Certificate in Transaction Monitoring and Financial Crimes?

The transaction monitoring helps to identify individuals, groups and organizations involved in frauds or financial crimes like terrorist financing, money laundering, and other crimes. Financial institutions and banks are mandated for AML compliance by implementing transaction monitoring. Transaction monitoring has gained importance due to increased financial crimes across the globe.

Vskills Certificate in Transaction Monitoring and Financial Crimes provides a hands-on approach to understand the nuances of transaction monitoring as well as understand AML and alerts to identify any financial crime.

The certification attests to your understanding and applying skills and knowledge in and helps you to stand out amongst your peers to help in gaining better career prospects.

The certification covers

- Financial Crimes
- Financial Crime Prevention
- Transaction Monitoring Alert Generation
- Alert Investigation

Who will benefit from taking Certificate in Transaction Monitoring and Financial Crimes?

Professionals, managers, executives working in AML-KYC or financial crimes domain of financial institutions, banks, delivery centers of MNC financial institutions will benefit immensely by opting for Vskills Certificate in Transaction Monitoring and Financial

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Crimes to gain an edge in the transaction monitoring skills and be noticeable amongst their colleagues as well as make progress in their respective careers.

Students taking the certification also gain by showcasing their understanding of Transaction Monitoring and Financial Crimes and are able to increase their job opportunities.

Test Details

- **Duration:** 60 minutes
- **No. of questions:** 50
- **Maximum marks:** 50, Passing marks: 25 (50%)

There is no negative marking in this module.

Fee Structure

Rs. 3,499/- (Excludes taxes)*

*Fees may change without prior notice, please refer <http://www.vskills.in> for updated fees

Companies that hire Vskills Certificate in Transaction Monitoring and Financial Crimes Professionals

Financial institutions, banks, loan agencies, NBFCs as well as BPOs, KPOs are constantly hiring skilled CFT professionals for their compliance related tasks. Companies employing transaction monitoring professionals include KPMG, Genpact, Saxo Bank, Bank of America, HDFC Bank, etc.

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1. Money Laundering Basics

- 1.1 What is Money Laundering?
- 1.2 Process of Money Laundering
- 1.3 Money Laundering Methods
- 1.4 Politically Exposed Persons
- 1.5 Money Laundering - Case Studies
- 1.6 Prevention of Money Laundering Act, 2002 (PMLA)
- 1.7 RBI Guidelines regarding AML
- 1.8 AML-KYC International Legislation and Cooperation
- 1.9 Money Laundering Risks
- 1.10 Money Laundering Risk Assessment

2. Financial Crimes

- 2.1 What is a Financial Crime?
- 2.2 Who commits Financial Crime?
- 2.3 Types of Financial Crime
- 2.4 Financial Crime Risk Assessment
- 2.5 Financial Crime Controls
- 2.6 Financial Crime Compliance Statement

3. Transaction Monitoring and Financial Crime Prevention

- 3.1 Transaction Monitoring Basics
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- 3.3 Essentials of Transaction Monitoring Process
- 3.4 Methods of Monitoring
- 3.5 Suspicious Transaction
- 3.6 Name Screening
- 3.7 Transaction Laundering
- 3.8 Transactional Profile
- 3.9 Transaction Monitoring in Banks
- 3.10 AML Transaction Monitoring Issues
- 3.11 Successful Transaction Monitoring Components
- 3.12 Transaction Monitoring Operations

4. Transaction Monitoring Alert Generation

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- 4.2 False Positive Alarms
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- 4.4 Effective Transaction Monitoring Tuning

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- 5.3 AML Alert Investigation Process
- 5.4 Conducting the AML Investigation
- 5.5 AML Alert Investigation Challenges
- 5.6 Effective AML Alert Investigations Best Practices

6. Outcomes of Transaction Monitoring Investigations

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- 6.2 Closing the Account
- 6.3 Communicating with Law Enforcement
- 6.4 Investigations by Law Enforcement
- 6.5 Law Enforcement Investigation against Financial Institution
- 6.6 Cash Transaction Reporting (CTR)
- 6.7 Counterfeit Currency Reporting (CCR)
- 6.8 Suspicious Transaction Report (STR)
- 6.9 Non-Profit Organization Transaction Reports (NTRs)
- 6.10 FIU-India's XML Reporting Format
- 6.11 STR Reporting
- 6.12 SAR Reporting
- 6.13 Account Based Data Files
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- 6.15 AML Investigation Case Study
- 6.16 Using Microsoft Excel for Transaction Monitoring and Financial Crimes Detection

Certifications

- ▶ **Accounting, Banking & Finance**
 - Certified GST Professional
 - Certified AML-KYC Compliance Officer
 - Certified Business Accountant
 - Certified BASEL III Professional
 - Certified GAAP Accounting Standards Professional
 - Certified Treasury Markets Professional
- ▶ **Big Data**
 - Certified Hadoop and Mapreduce Professional
- ▶ **Cloud Computing**
 - Certified Cloud Computing Professional
- ▶ **Design**
 - Certified Interior Designer
- ▶ **Digital Media**
 - Certified Social Media Marketing Professional
 - Certified Inbound Marketing Professional
 - Certified Digital Marketing Professional
- ▶ **Foreign Trade**
 - Certified Export Import (Foreign Trade) Professional
- ▶ **Health, Nutrition and Well Being**
 - Certified Fitness Instructor
- ▶ **Hospitality**
 - Certified Restaurant Team Member (Hospitality)
- ▶ **Human Resources**
 - Certified HR Compensation Manager
 - Certified HR Staffing Manager
 - Certified Human Resources Manager
 - Certified Performance Appraisal Manager
- ▶ **Office Skills**
 - Certified Data Entry Operator
 - Certified Office Administrator
- ▶ **Project Management**
 - Certified Master in Project Management
 - Certified Scrum Specialist
- ▶ **Real Estate**
 - Certified Real Estate Consultant
- ▶ **Marketing**
 - Certified Marketing Manager
- ▶ **Quality**
 - Certified Six Sigma Green Belt Professional
 - Certified Six Sigma Black Belt Professional
 - Certified TQM Professional
- ▶ **Logistics & Supply Chain Management**
 - Certified International Logistics Professional
 - Certified Logistics & SCM Professional
 - Certified Supply Chain Management Professional
- ▶ **Legal**
 - Certified IPR & Legal Manager
 - Certified Labour Law Analyst
 - Certified Business Law Analyst
 - Certified Corporate Law Analyst
- ▶ **Information Technology**
 - Certified Angular JS Professional
 - Certified Basic Network Support Professional
 - Certified Business Intelligence Professional
 - Certified Core Java Developer
 - Certified E-commerce Professional
 - Certified IT Support Professional
 - Certified PHP Professional
 - Certified Selenium Professional
- ▶ **Mobile Application Development**
 - Certified Android Apps Developer
 - Certified iPhone Apps Developer
- ▶ **Security**
 - Certified Ethical Hacking and Security Professional
 - Certified Network Security Professional
- ▶ **Management**
 - Certified Corporate Governance Professional
 - Certified Corporate Social Responsibility Professional
 - Certified Leadership Skills Professional
- ▶ **Life Skills**
 - Certified Business Communication Specialist
 - Certified Public Relations Officer
- ▶ **Media**
 - Certified Advertising Manager
 - Certified Advertising Sales Professional
- ▶ **Sales, BPO**
 - Certified Sales Manager
 - Certified Telesales Executive

& many more job related certifications

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